FORM EXEMPT UNDER 44 U.S.C 3512

INTERNET FORM NLRB-501 (2.08)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE Case Date Filed

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4-23-20 02-CA-259461 File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring 1. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT a. Name of Employer b. Tel. No. 212-450-2000 National Football League c. Celi No f. Fax No. e. Employer Representative d. Address (Street, city, state, and ZIP code) g. e-Mail 345 Park Avenue Troy D. Vincent, Sr. New York, NY 10154 Executive Vice-President of Football Troy.Vincent@nfl.com Operations Number of workers employed 130 +Type of Establishment (factory, mine, wholesaler, etc.) j. Identify principal product or service Professional Sports League Professional Football Games k. The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of section 8(a), subsections (1) and (list subsections) (5) of the National Labor Relations Act, and these unfair labor practices are practices affecting commerce within the meaning of the Act, or these unfair labor practices are unfair practices affecting commerce within the meaning of the Act and the Postal Reorganization Act. 2. Basis of the Charge (set forth a clear and concise statement of the facts constituting the alleged unfair labor practices) Within the past six (6) months the Employer, by and through its duly authorized agents and representatives, has unilaterally implemented terms and conditions of employment for bargaining unit employees without first bargaining in good faith with the Charging Party in violation of the Act. The Employer has failed and refused to provide documents and information requested by the Charging Party, which documents and information are presumptively relevant to the performance of Charging Party's duties and obligations as the exclusive bargaining representative of bargaining unit employees. Additionally, the Employer has bypassed the Charging Party as the exclusive bargaining representative of bargaining unit employees and has engaged in direct dealing with members of the bargaining unit, all in violation of the Act. 3. Full name of party filing charge (if labor organization, give full name, including local name and number) National Football League Referees Association 4b. Tel. No. 4a. Address (Street and number, city, state, and ZIP code) 4c. Cell No. (b) (6), (b) (7)(C 7644 Haddington Cove Bradenton, FL 34202 4d. Fax No. 4e. e-Mail (6)(b) 5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization). National Football League Referees Association Tel. No. 6: DECLARATION 602-234-0008 I declare that I have read the above charge and that the statements are true to the best of my knowledge and belief. Office, if any, Cell No. Bruce C. Jackson, Jr./Attorney 816-590-0166 ature of representativ erson making (Print/type name and title or office, if any) Fax No. 602-626-3586 e-Mail 4/21/20 349 N. 4th Avenue, Phoenix, AZ 85003 bruce@lubinandenoch.com

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

(data)

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary, however, failure to supply the information will cause the NLRB to decline to invoke its processes.



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



REGION 2 26 Federal Plz Ste 3614 New York, NY 10278-3699 Agency Website: www.nlrb.gov Telephone: (212)264-0300 Fax: (212)264-2450 Download NLRB Mobile App

April 23, 2020

National Football League Attn: Troy D. Vincent Sr., Executive Vice President of Football Operations 345 Park Avenue New York, NY 10154-0017

> Re: National Football League Case No. 02-CA-259461

Dear Mr. Vincent:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney MATTHEW S. MURTAGH whose telephone number is (212)776-8630. If this Board agent is not available, you may contact Supervisory Field Attorney GEOFFREY DUNHAM whose telephone number is (212)776-8609.

<u>Right to Representation</u>: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor any request to place limitations on our use of position statements or evidence beyond those prescribed by the Freedom of Information Act and the Federal Records Act. Thus, we will not honor any claim of confidentiality except as provided by Exemption 4 of FOIA, 5 U.S.C. Sec. 552(b)(4), and any material you submit may be introduced as evidence at any hearing before an administrative law judge. We are also required by the Federal Records Act to keep copies of documents gathered in our investigation for some years after a case closes. Further, the Freedom of Information Act may require that we disclose such records in closed cases upon request, unless there is an applicable exemption. Examples of those exemptions are those that protect confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

Procedures: Pursuant to Section 102.5 of the Board's Rules and Regulations, parties must submit all documentary evidence, including statements of position, exhibits, sworn statements, and/or other evidence, by electronically submitting (E-Filing) them through the Agency's web site (www.nlrb.gov). You must e-file all documents electronically or provide a written statement explaining why electronic submission is not possible or feasible. Failure to comply with Section 102.5 will result in rejection of your submission. The Region will make its determination on the merits solely based on the evidence properly submitted. All evidence submitted electronically should be in the form in which it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions

about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

If the Agency does not issue a formal complaint in this matter, parties will be notified of the Regional Director's decision by email. Please ensure that the agent handling your case has your current email address.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

JOHN J. WALSH, JR. Regional Director

If. Milly.

Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



REGION 2 Agency Website: www.nlrb.gov 26 Federal Plz Ste 3614 Telephone: (212)264-0300 New York, NY 10278-3699 Fax: (212)264-2450 Download NLRB Mobile App

April 23, 2020

National Football League Referees Association 7644 Haddington Cove Bradenton, FL 34202

> Re: National Football League Case No. 02-CA-259461

Dear Sir or Madam:

The charge that you filed in this case on April 23, 2020 has been docketed as case number 02-CA-259461. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney MATTHEW S. MURTAGH whose telephone number is (212)776-8630. If this Board agent is not available, you may contact Supervisory Field Attorney GEOFFREY DUNHAM whose telephone number is (212)776-8609.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

JOHN J. WALSH, JR. Regional Director

cc: Bruce Jackson, Attorney Lubin And Enoch P.C. 349 N. Fourth Avenue Phoenix, AZ 85003

UNITED STATES OF AMERICA

BEFORE THE NATIONAL LABOR RELATIONS BOARD

NATIONAL FOOTBALL LEAGUE				
Charged Party				
and	Case 02-CA-259461			
NATIONAL FOOTBALL LEAGUE REFEREES ASSOCIATION				
Charging Party				
AFFIDAVIT OF SERVICE OF CHARGE AGAINST EMPLOYER I, D. Mahr the undersigned employee of the National Labor Relations Board, state under oath that on April 23, 2020, I served the above-entitled document(s) by post-paid regular mail upon the following persons, addressed to them at the following addresses: National Football League Attn: Troy D. Vincent Sr., Executive Vice President of Football Operations				
345 Park Avenue New York, NY 10154-0017				
April 23, 2020	D. Mahr, Designated Agent of NLRB			
Date	Name			
	/s/ D. Mahr			
	Signature			

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

National Football League Referees Association				
and	CASE 02-CA-259461			
National Football League				
*				
REGIONAL DIRECTOR EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570			
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTAT	IVE OF			
National Football League				
IN THE ABOVE-CAPTIONED MATTER.				
CHECK THE APPROPRIATE BOX(ES) BELOW:				
REPRESENTATIVE IS AN ATTORNEY				
IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY OF DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMEN CASEHANDLING MANUAL.	ADDITION TO THOSE DESCRIBED BELOW, THIS WILL RECEIVE ONLY COPIES OF CERTAIN			
(REPRESENTATIVE INFOR.	MATION)			
NAME: Bernard Plum and Joshua Fox				
MAILING ADDRESS: Proskauer Rose LLP, Eleven Times Square, New York, NY 10036				
E-MAIL ADDRESS: bplum@proskauer.com, jfox@proskauer.com	1			
OFFICE TELEPHONE NUMBER: 212 969 3507				
CELL PHONE NUMBER: 917 748 9841 FAX: 212 969 2900				
SIGNATURE:				
DATE: (Pleasof sign in infk.)				

 $^{^{\}rm I}$ if case is pending in Washington and Notice of appearance is sent to the general counsel or the executive secretary, a copy should be sent to the regional director of the region in which the case was filed so that those records will reflect the appearance.